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12643 B
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Herman LAI and Bin Juen HUANG	:
Serial No.	:	10/042,424	:
Filed	:	January 10, 2002	:
For	:	HEAT EXCHANGING DEVICE HAVING HEAT EXCHANGING HOUSING	:
Examiner	:	Not Yet Assigned	:

ATTENTION: OFFICE OF INITIAL PATENT EXAMINATION'S
CUSTOMER SERVICE CENTER
Examiner Karla Quintius

Hon. Commissioner of Patents and Trademarks
U.S. Patent and Trademark Office
Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING PARTS

Gentlepersons:

In response to a Notice to File Missing Parts of Nonprovisional Application dated
March 8, 2002, enclosed herewith is a Combined Declaration/Power of Attorney for Patent

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as First-class mail in an envelope addressed to: OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE CENTER, Hon. Commissioner of Patents and Trademarks, US Patent & Trademark Office, Washington, DC 20231 on the date indicated below:

Charles E. Baxley
Attorney's Name

Charles E. Baxley
Signature

March 19, 2002
Date


Application executed by both inventors Herman LAI and Bin Juen HUANG. Both are designated Taiwan citizens and both their residence and post office addresses are set forth thereon.

If anything further is required hereon, please so inform Applicants' United States Attorney.

Also enclosed herewith is a check in the amount of Sixty-Five Dollars (\$65.00) the fee hereon. As instructed, a copy of the Notice to File Missing Parts of Nonprovisional Application dated March 8, 2002 is enclosed.

Courtesy and cooperation and skill of the Initial Patent Examination Division are appreciated.

Respectfully,

BY: 
CHARLES E. BAXLEY
Attorney of Record
USPTO Reg. 20,149
59 John Street - 5th Floor
New York, New York 10038
Tel: (212) 791-7200
Fax: (212) 791-7276

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Enclosures: Combined Declaration/Power of Attorney
Notice to File Missing Parts of Nonprovisional Application
Check : \$ 65.00

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HART, BAXLEY, DANIELS & HOLTON

59 JOHN STREET
NEW YORK, N.Y. 10038

TO

Hon. Commissioner of Patents and Trademarks
U.S. Patent and Trademark Office

Washington, D.C. 20231

ATTENTION: OFFICE OF INITIAL PATENT
EXAMINATION'S - CUSTOMER
SERVICE CENTER
EXAMINER KARLA QUINTIUS



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : **Herman LAI and Bin Juen HUANG**
Serial No. : **10/042,424**
Filed : **January 10, 2002**
For : **HEAT EXCHANGING DEVICE HAVING.....**
Art Unit : **3743**
Examiner : **Not Yet Assigned**

Transmitted herewith are the following:

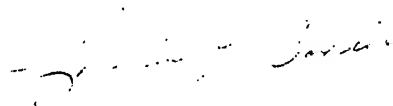
- ☒ **RESPONSE TO NOTICE OF MISSING PARTS w/CERTIFICATE OF MAILING;**
- ☒ **Combined Declaration/Power of Attorney;**
- ☒ **Notice to File Missing Parts of Nonprovisional Application - Part 2; and**
- ☒ **ACKNOWLEDGMENT POSTCARD.**

☒ **The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.**

☒ **A check in the amount of \$ 65.00 is enclosed.**

☒ **I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: ATTENTION: OFFICE OF INITIAL PATENT EXAMINATION'S, CUSTOMER SERVICE CENTER, EXAMINER KAREN QUINTIUS, Honorable Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231 on March 19, 2002.**

Respectfully,


CHARLES E. BAXLEY
Attorney of Record
USPTO REG. 20, 149

Dated: March 19, 2002
CHARLES E. BAXLEY, ESQUIRE
Hart, Baxley, Daniels & Holton
59 John Street, Fifth Floor
New York, New York 10038
Tel: (212) 791-7200
Fax: (212) 791-7276



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Docket No. 12643 B

COMBINED DECLARATION/POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

HEAT EXCHANGING DEVICE HAVING HEAT EXCHANGING HOUSING

the specification of which (check one)
☒ is attached hereto

☐ was filed on _____
as U. S. Application
Serial No. _____
and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefit(s) under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

P - Foreign Application(s)

Priority Claimed

(Country)	(Country)	Day/Month/Year Filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	Day/Month/Year Filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	Day/Month/Year Filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37 Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorneys and agent:

CHARLES E. BAXLEY, PTO REG# 20,149;

to prosecute this application and

transact all business in the Patent and Trademark Office connected therewith.

Send correspondence to: **CHARLES E. BAXLEY**
59 John Street-5th Floor
New York, N.Y. 10038

Direct telephone calls to: (212) 791-7200

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Herman Lai
Inventor's Signature Herman Lai Date Sep. 29, 2001
Residence No. 25, Da Jin Street, Nan Tun Chu, Taichung, Taiwan 408
Citizenship Taiwan
Post Office Address No. 25, Da Jin Street, Nan Tun Chu, Taichung, Taiwan 408

Full name of second joint inventor, if any Bin Juen Huang
Second Inventor's Signature Bin Juen Huang Date Sep. 29, 2001
Residence No. 7, Lane 52, Wun Jou Street, Taipei, Taiwan 106
Citizenship Taiwan
Post Office Address No. 7, Lane 52, Wun Jou Street, Taipei, Taiwan 106

(Supply similar information and sign after name for third and other named joint inventors.)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,424	01/10/2002	Herman Lai	12643 B

CONFIRMATION NO. 2514

FORMALITIES LETTER



OC000000007609768

CHARLES E. BAXLEY
5th Floor
59 John Street
New York, NY 10038

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Karla Quintana
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 BABRAHA1 00000077 10042424

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